

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

MAT-8 S

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

To Be Assigned **09/646665**INTERNATIONAL APPLICATION NO.
PCT/JP00/00223INTERNATIONAL FILING DATE
19 January 2000 (19.01.00)PRIORITY DATE CLAIMED
20 January 1999 (20.01.99)

TITLE OF INVENTION

**METHOD OF DATA TRANSMISSION, TRANSMITTING APPARATUS USING THE SAME METHOD, METHOD
OF DATA RECEPTION AND RECEIVING APPARATUS USING THE SAME METHOD**

APPLICANT(S) FOR DO/EO/US

Masaaki HIGASHIDA, Yoshihiro MORIOKA, Satoshi OYAMA, Tatsushi BANNAI; Minoru NISHIOKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

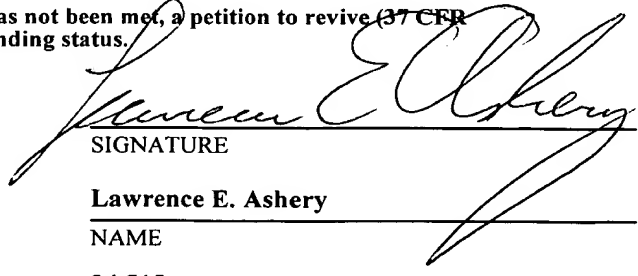
Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

Japanese Language Search Report for PCT/JP00/00223 dated April 25, 2000
English translation of Form PCT/ISA/210

430 Rec'd PCT/PTO 20 SEP 2000

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) To Be Assigned 09/64665		INTERNATIONAL APPLICATION NO. PCT/JP00/00223	ATTORNEY'S DOCKET NUMBER MAT-8014US																																																			
21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =			CALCULATIONS PTO USE ONLY																																																			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).			\$840.00																																																			
<table border="1"><thead><tr><th>CLAIMS</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th><th>RATE</th></tr></thead><tbody><tr><td>Total claims</td><td>16 - 20 =</td><td>0</td><td>x \$18.00</td></tr><tr><td>Independent claims</td><td>2 - 3 =</td><td>0</td><td>x \$78.00</td></tr><tr><td colspan="3">Multiple Dependent Claims (check if applicable).</td><td><input type="checkbox"/></td></tr><tr><td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td><td>\$840.00</td></tr><tr><td colspan="3">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity. Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).</td><td><input type="checkbox"/></td></tr><tr><td colspan="3">SUBTOTAL =</td><td>\$840.00</td></tr><tr><td colspan="3">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td><td>\$0.00</td></tr><tr><td colspan="3">TOTAL NATIONAL FEE =</td><td>\$840.00</td></tr><tr><td colspan="3">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).</td><td><input type="checkbox"/></td></tr><tr><td colspan="3">TOTAL FEES ENCLOSED =</td><td>\$840.00</td></tr><tr><td colspan="3"></td><td>Amount to be: refunded \$</td></tr><tr><td colspan="3"></td><td>charged \$</td></tr></tbody></table>			CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	16 - 20 =	0	x \$18.00	Independent claims	2 - 3 =	0	x \$78.00	Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	TOTAL OF ABOVE CALCULATIONS =			\$840.00	Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity. Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).			<input type="checkbox"/>	SUBTOTAL =			\$840.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			\$0.00	TOTAL NATIONAL FEE =			\$840.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>	TOTAL FEES ENCLOSED =			\$840.00				Amount to be: refunded \$				charged \$
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<input checked="" type="checkbox"/> A check in the amount of \$840.00 to cover the above fees is enclosed.
<input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 18-0350 A duplicate copy of this sheet is enclosed.
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.
SEND ALL CORRESPONDENCE TO: <div>Lawrence E. Ashery Ratner & Prestia P.O. Box 980 Valley Forge, PA 19482-0980 Tel: (610) 407-0700</div>
<div> SIGNATURE Lawrence E. Ashery NAME 34,515 REGISTRATION NUMBER 20 September 2000 DATE</div>

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): M. Higashida et al.

Docket No.

MAT-8014US

Serial No.

09/646,665

Filing Date

Herewith

Examiner

Group Art Unit

Invention:

**METHOD OF DATA TRANSMISSION, TRANSMITTING APPARATUS USING THE SAME METHOD,
METHOD OF DATA RECEPTION AND RECEIVING APPARATUS USING THE SAME METHOD**

I hereby certify that the following correspondence:

PTO-1390 and its enclosures

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

November 27, 2000*(Date)*Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL 769592806 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**